



## Our Core Package

Bankhall is the largest provider of support services to Directly Authorised IFA's in the market. With over 15 years experience and backed by the strength of the Sesame Bankhall Group, we can provide all the support you need to ensure your firm continues to thrive in a post RDR environment. Bankhall can offer you a core package of services, which includes all the basic tools typically required by an IFA firm and if you require other services you can simply add to your package from our Menu.

### You will receive:

#### 1. Online Compliance Support

Essential Compliance documents including:

- A Compliance Manual
- Training and Competency plans
- TCF templates
- A range of documents designed to support your Compliance and regulatory requirements

#### 2. Annual Compliance Visit

One of the following Compliance visits will be supplied dependent on the individual requirements of your business. This can range from a detailed Compliance Audit, an informative Business Risk Review or a specialist Compliance Implementation day if you have just started out as a directly authorised firm.

#### 3. Compliance and Technical Helplines

The Compliance Support Unit gives you access to an experienced team via phone and email for Compliance queries, which relate to the FSA and other legislative requirements.

#### Guidance can include:

- Assistance with the completion of Retail Mediation Activities Return (RMAR)
- Practical assistance with the preparation of a forthcoming TCF interview/visit
- Recruitment and Training & Competence process
- Data Protection and Consumer Credit requirements

**Plus much more!**

#### 4. Regulated Business

##### Stationery Checking

The Compliance Support Unit will provide advice and guidance with the design of all your business stationery and regulatory disclosure documentation.

#### 5. Regulatory Bulletins

Delivered via email (or available on Bankhall Online) on a weekly basis; these regular bulletins give you guidance on regulatory and legislative 'hot topics'. This service aims to give you peace of mind that you are up to date on the critical regulatory and industry topics.

### For more information

call 0845 003 0400, OPT1. email [tellmemore@bankhall.co.uk](mailto:tellmemore@bankhall.co.uk) or visit [www.bankhall.co.uk](http://www.bankhall.co.uk)



## Our Core Package (cont).

### **6. Learning and Development Support**

Support is offered to you and all your advisers in the following areas:

- QCF Level 4 learning material
- Development focused events and training courses
- Online learning opportunities

### **7. Enhanced Trading Terms**

Bankhall will use its scale and market strength to ensure you receive the best possible commission terms from our provider partners.

### **8. My Learning Licence**

Bankhall will provide you with access to the 'My Learning' platform (single user license included), which contains e-learning modules; a facility for online assessments to be completed and CPD record keeping functionality.

### **9. Sesame Research**

You will receive a personal login for the Sesame Research service (single login included), which has been designed to provide comprehensive product, fund and technical support for IFAs.

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